



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Gruber, et al. Examiner: Bui, P.
Serial No.: 09/284,697 Group Art Unit: 1638
Filed: July 6, 1999 Docket: 855-15
For: PANCREATIC LIPASES AND/OR RECOMBINANT COLIPASES AND DERIVED POLYPEPTIDES PRODUCED BY PLANTS, METHODS FOR OBTAINING THEM AND USE THEREOF

Assistant Commissioner for Patents
Washington, DC 20231

*I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:
Assistant Commissioner for Patents, Washington, D.C.*

20231 on November 16, 2000

Dated: 11/16/2000 J. Carach

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATION CONTAINING NUCLEOTIDE
SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed September 20, 2000, Applicants enclose herewith the following:

1. An initial Diskette containing the Sequence Listing in computer-readable form;
2. An initial paper copy of the Sequence Listing;
3. An Amendment directing the entry of the Sequence Listing into the

specification; and



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4. A copy of the Notice to Comply.

REMARKS

Applicants submit the Sequence Listing to conform with the above-referenced Notice to Comply. Entry of the Sequence Listing into the specification of the application is respectfully requested.

The Notice to Comply, dated September 20, 2000, indicates that the due date for a response to the Notice is the one-month shortened statutory period. This Response is filed within the second month after the September 20, 2000 communication. Accordingly, a Petition for a One Month Extension of Time and the appropriate fee are filed concurrently herewith.

Under 37 C.F.R. 1.821(f), the Applicants' attorney hereby states that the contents of the computer readable form and the paper copy are the same. Under 37 C.F.R. §1.821(g), the Applicants' attorney also states that the inclusion of this Sequence Listing does not include any new matter. Accordingly, it is respectfully requested that the Sequence Listing be entered into the application.

If the Examiner has any questions relating to this Amendment or to this application in general, it is respectfully requested that the Examiner contact the Applicants' undersigned attorney at the telephone number provided below.



Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Susan A. Sipos", written over a horizontal line.

Susan A. Sipos
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**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):



- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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